GAZETTE OF INDIA

EXTRAORDINARY

PART – III – SECTION 4

PUBLISHED BY AUTHORITY

NEW DELHI, JANUARY 12, 2017

SECURITIES AND EXCHANGE BOARD OF INDIA

NOTIFICATION

Mumbai, the 12th January, 2017

SECURITIES AND EXCHANGE BOARD OF INDIA (FOREIGN PORTFOLIO INVESTORS) (AMENDMENT) REGULATIONS, 2017

No. SEBI/LAD/NRO/GN/2016-17/018.—In exercise of the powers conferred under section 30 of the Securities and Exchange Board of India Act, 1992 (15 of 1992), the Securities and Exchange Board of India hereby, makes the following regulations to further amend the Securities and Exchange Board of India (Foreign Portfolio Investors) Regulations, 2014, namely,—

- 1. These regulations may be called the Securities and Exchange Board of India (Foreign Portfolio Investors) (Amendment) Regulations, 2017.
- 2. They shall come into force on the date of their publication in the Official Gazette.
- 3. In the Securities and Exchange Board of India (Foreign Portfolio Investors) Regulations, 2014.—
 - (1) In regulation 21,
 - i. in sub-regulation (1), in clause (a),—
 - (1) for the words "Securities in the primary and secondary markets including shares", the word "Shares" shall be substituted;

- (2) after the word "India", the words and symbol ", through primary and secondary markets", shall be inserted;
- ii. in sub-regulation (4), in clause (e),-
 - (1) after sub-clause (vii) the following sub-clauses shall be inserted, namely,-
 - "(viii) transactions by Category I and II foreign portfolio investors, in corporate bonds, as may be specified by the Board;
 - (ix) transactions on the electronic book provider platform of recognized stock exchanges;"
 - (2) existing sub-clause (viii) shall be re-numbered as sub-clause (x);

sd/-U. K. SINHA CHAIRMAN SECURITIES AND EXCHANGE BOARD OF INDIA

Footnote:

- 1. The Securities and Exchange Board of India (Foreign Portfolio Investors) Regulations, 2014 were published in the Gazette of India on 7th January, 2014 vide No. LAD-NRO/GN/2013-14/36/12.
- 2. The Securities and Exchange Board of India (Foreign Portfolio Investors) Regulations, 2014, were subsequently amended on:
 - (a) July 8, 2016 by the Securities and Exchange Board of India (Foreign Portfolio Investors) (Amendment) Regulations, 2016 vide notification no. SEBI/LAD-NRO/GN/2016-17/009.